DECLARATION

I certify that this dissertation is my original work and submitted for the Master in Public Health Program. It has not been submitted in part or in full to any University and or any publication.

Student:
SignatureDate
Takura Matare
I having supervised and read this dissertation. I am satisfied that this is the original work of
the author in whose name it is being presented. I confirm that the work has been completely
satisfactory for presentation in the examination.
Academic Supervisor:
SignatureDate
Dr. G. Shambira
Chairman:
Signature Date

Professor S. Rusakaniko

Abstract

Factors Associated with Human Immunodeficiency Virus First Line Treatment failure

in Zvishavane District, Midlands Province, 2014

Introduction: Globally, first line HIV treatment failure remains a challenge particularly in

resource constrained settings. Midlands Province 2013 data showed that Zvishavane district

had the highest prevalence of first line treatment failure at 16% against a national average of

1%. First line ART failure comes with poor treatment outcomes. We conducted a study to

determine factors associated with first line HIV treatment failure in Zvishavane district.

Methods: A 1:1 unmatched case control study was conducted. A case was an HIV positive

patient who was on first line ART for ≥ 6 months in Zvishavane district and was switched to

second line ART regimen because of treatment failure in 2013/2014. A control was an HIV

patient in Zvishavane district who had been on first line ART for ≥6 months and had not

failed first line ART. Pretested interviewer administered questionnaires were used to collect

data from randomly selected participants from health facilities registers. Logistic regression

analysis was conducted.

Results: A total of 246 participants, 123 cases and 123 controls, were recruited. Independent

risk factors were poor adherence (<80% adherence) to ART [AOR=5.14, 95%CI (2.75-

9.62)], drug stock outs [AOR=3.02, 95%CI (1.20-6.98)], baseline CD4 count of <50

cells/mm3 [AOR=3.25, 95%CI (1.47-7.16)] and baseline WHO Stage 3 or 4 [AOR=1.95,

95%CI (1.05-3.61)]. Drug stock outs were a significant determinant of poor ART adherence

[OR=3.09, 95%CI (1.83-5.21)].

Conclusion: Low baseline CD4 count and WHO stage 3 or 4 at ART initiation is associated

with treatment failure. Improving adherence and avoiding ART drug stock outs may reduce

treatment failure.

Key words: First Line Treatment Failure, Zvishavane district

ii

Acknowledgements

I wish to extend my sincere gratitude to my field supervisor, Dr. M Chemhuru for his

guidance and to the staff and management at Midlands Provincial Medical Directorate for

their unwavering support. Special thanks go to Dr G. Shambira and Mr N. Gombe for their

guidance in the preparation of this dissertation. I would also want to express my gratitude to

staff from the Department of Community Medicine and Health Studies Office for all the help

they rendered to me. Many thanks go to all the study respondents who consented to be

interviewed and contribute to the success of this study. Last, but not least, I would like to

thank all the colleagues who assisted me, and my wife Lingiwe Matare and my daughter

Tafadzwa Matare for their prayers and social support throughout the dissertation.

Takura Matare

University of Zimbabwe, August 2014

iii

Table of Contents	Page
Declaration	i
Abstract	ii
Acknowledgements	iv
Table of contents	v
List of Tables	viii
List of Figures	ix
List of Appendices	X
List of Abbreviations	xi
Chapter 1	1
Introduction_	1
Human Immunodeficiency Virus	1
Human Immune-deficiency virus treatment failure	2
Working definition	2
The importance of preventing HIV first line treatment failure	2
Epidemiology of HIV treatment failure	3
Study Setting: Zvishavane District	4
Problem statement	5
Justification of the study	6
Research question	6
Hypothesis	6
Objectives of the study	7
Broad objective	7
Specific objectives	7
Definition of terms	8

Chapter 2	
Literature Review	
Global Epidemiology of HIV treatment failure	
Regional Epidemiology of HIV treatment Failure	
Epidemiology of HIV treatment failure in Zimbabwe	
Factors associated with HIV treatment failure	
The Conceptual Framework	
Chapter 3	
Research Methodology	
Study type	
Plan for reducing potential biases	
Study setting	
Study population	
Records Review	
Permission and Ethical considerations	
Inclusion criteria and exclusion criteria	
Sample size determination	
Sampling	
Pretesting data collection Instruments	·
Plan for data collection	
Plan for data analysis	
Plan for Project Administration, monitoring and utilization of results	
Project finance	
Chapter 4	
Results	

Socio-demographic characteristics of study participants	23
Treatment Related Factors Associated with First Line HIV Treatment Failure,	Zvishavane
District, Midlands Province, Zimbabwe, 2014	25
Health Service Related Factors Associated with First Line HIV Treatm	ent Failure,
Zvishavane District, Midlands Province, Zimbabwe, 2014	26
Behavior Related Factors Associated with First Line HIV Treatment Failure,	Zvishavane
District, Midland Province, 2014	27
Determinants of Poor Adherence (<80%) to First Line HIV Treatment, Zvishav	ane District,
Midlands Province, 2014	29
Patients Knowledge of First Line HIV Treatment Failure, Zvishavane District, 20	14
	30
Independent Risk Factors Associated with First Line HIV Treatment Failure,	Zvishavane
District, 2014	_32
Commodities Availability Status, Zvishavane District, Midlands Province, 2014_	. 33
Results from Key Informants	_ 34
Chapter 5	_35
Discussion_	_35
Strengths of the study	_ 39
Limitations of the study	_39
Possible areas of research	_40
Chapter 6	_41
Conclusions and Recommendations	_41
Conclusions_	_41
Recommendations	_41
References	_55

List of Tables	Page	
Table 1: Socio-demographic characteristics of study participants, Zvishavane dis	strict, 2014	
	_ 23	
Table 2: Treatment Related Factors Associated with 1st Line HIV Treatment	nent Failure,	
Zvishavane District, Zimbabwe, 2014	_ 25	
Table 3: Treatment Related Factors Associated with First Line HIV Treatment	nent Failure,	
Zvishavane District, Zimbabwe, 2014	_ 26	
Table 4: Behavior Related Factors Associated with 1st Line HIV Treatment	nent Failure,	
Zvishavane District, 2014	_27	
Table 5: Determinants of Poor Adherence (<80%) to First Line HIV Treatment	, Zvishavane	
District, Midlands Province, 2014	_ 29	
Table 6: Patients Knowledge of First Line HIV Treatment Failure, Zvishavane D	istrict, 2014	
	_ 30	
Table 7: First Line HIV Treatment Outcome Status and Baseline WHO Stage 3 of	or 4 Stratified	
by Gender, Zvishavane district, 2014	_31	
Table 8: Independent Risk Factors Associated with First Line HIV Treatment	nent Failure,	
Zvishavane District, 2014	32	
Table 9: Commodities Availability, Zvishavane District, Midlands Province, 2014		
	_ 33	

List of Figures

Figure 1: Distribution of HIV first treatment failure aggregated by distri-	et, Midlands
Province, 2014	5
Figure 2: Conceptual Frame work on factors associated with First Line HIV treat	ment failure
in Zvishavane District, 2014	16

List of Appendices

Annex 1A: Consent Form for study participants	43
Annex 1B: Fomu Rechibvumirano	44
Annex 2: Interview Guide for Key Informants	47
Annex 3: Questionnaire for cases and controls, Zvishavane district	49
Annex 4: Checklist for clinical, immunological and adherence data	53
Annex 5: Checklist for assessing availability of commodities at the health facility	
	54

List of Abbreviations

ART-Antiretroviral Therapy

CD4-Cluster Differentiation Four

DRV/_r-Duranavir/Ritonavir

EWI: Early Warning Indicator

HIV-Human Immunodeficiency Virus

HIV/AIDS-Human Immunodeficiency Virus/Acquired Immunodeficiency Syndrome

HIV-DR-Human Immunodeficiency Virus Drug Resistance

HIV RNA- Human Immunodeficiency Virus Ribonucleic Acid

HR-Hazard Ratio

MDG-Millennium Development Goal

MRCZ-Medical Research Council of Zimbabwe

NDTAC-National Drug and Therapeutics Committee

OI/ART-Opportunistic Infections/Antiretroviral Therapy

PI-Protease Inhibitor

pVL- plasma Viral Load

RH-Relative Hazard

STI-Sexually Transmitted Infections

VL-Viral Load

WHO-World Health Organization